Gp2768

Rev. 9/00 GTX-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

: Marvin T. Ling

Serial No.

09/553,695

Filed

: April 21, 2000

For

METHOD AND APPARATUS FOR CONDUCTING

ELECTRONIC COMMERCE TRANSACTIONS USING

ELECTRONIC TOKENS

Group Art Unit :

2768

RECEIVED

Examiner

: To Be Assigned

NOV 0 2 2000

Hon. Commissioner

for Patents

Washington, D.C. 20231

Technology Center 2100

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure
Statement in the above-identified application. This
Statement is submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Commissioner is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Steven J. Cath 11

Registration No. 44,578 Attorney for Applicant

FISH & NEAVE Customer No. 1473 1251 Avenue of the Americas New York, New York 10020-1104 Tel.: (650) 617-4000

Phareby Carty that the Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed for ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231, ON

ILY X JIANG

Signature of Person Signing



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Hon. Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, applicants wish to call the attention of the Examiner to the following documents:

U.S. Patents

| Wright et al. | 4,802,218 | January 31, 1989 |
|----------------|-----------|--------------------|
| Ohta et al. | 4,977,595 | December 11, 1990 |
| Bigari | 5,010,485 | April 23, 1991 |
| Okamoto et al. | 5,224,162 | June 29, 1993 |
| Guillou et al. | 5,305,383 | April 19, 1994 |
| Akiyama et al. | 5,539,825 | July 23, 1996 |
| Cordery et al. | 5,655,023 | August 5, 1997 |
| Turk | 5,671,364 | September 23, 1997 |
| Kravitz | 5,832,089 | November 3, 1998 |
| Berger et al. | 5,943,424 | August 24, 1999 |
| Turk et al. | 5,983,207 | November 9, 1999 |

Other Documents

O'Mahony et al., "Electronic Payment Systems," 1997, pp. 191-212.

"Payment mechanisms designed for the Internet," printed from the Internet at http://ganges.cs.tcd.ie/mepeirce/Project/ oninternet.html, on October 23, 2000.

"FC '97 Papers," Rafael Hirschfeld (Ed.), February 1997, printed from the Internet at http://www.arraydev.com/commerce/jibc/9704-30.htm, on October 20, 2000.

"Networked payment mechanisms & digital cash," printed from the Internet at http://inf2.pira.co.uk/top033.htm, on October 20, 2000.

"Small change: Micropayment," printed from the Internet at http://www2.computerworld.com/home/features.nsf/All/980223micropaylinks, on October 23, 2000.

"A plan for the Introduction of Internet Cash," printed from the Internet at http://www.jim.com/jamesd/kong/plan.htm, on October 20, 2000.

http://www.internetdollar.com, printed from the Internet on October 20, 2000.

"Micropayment methods," printed from the Internet at http://www.transaction.net/payment/micro.html, on October 20, 2000.

http://www.ecoin.net, printed from the Internet on October 20, 2000.

"Scaleable, Secure Cash Payment for WWW Resources with the PayMe Protocol Set," printed from the Internet at http://ganges.cs.tcd.ie/mepeirce/Project/Payme/Overview.html on October 23, 2000.

These documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and copies are enclosed herewith.

Consideration of the foregoing in relation to this

patent application is respectfully requested.

Respectfully submitted,

Steven J. Cahill Reg. No. 44,578

Attorney for Applicants

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Tel.: (212) 596-9000

Phereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to: ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231, ON

10 - 27 - 2000 LILYX JIANG

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